Atty. Dkt. No. 054726-011

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hubert F. Metzger

Title:

**ELECTROPLATING** 

APPARATUS USING A NON-DISSOLVABLE ANODE AND

**ULTRASONIC ENERGY** 

Appl. No.:

09/992,205

Filing Date: 11/06/2001

Examiner:

Donald R. Valentine

Art Unit:

1742

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

CERTIFICATE OF EXPRESS MAILING

EV 417420847 US

2/17/04

(Express Mail Label Number)

(Date of Deposit)

Carolyn Simpson

Printed Name

(Signature)

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop ISSUE FEE Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

02/23/2004 JBALINAN 00000012 09992205

01 FC:1806

180.00 OP

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

#### RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language document is described in the present specification. An English translation of the foreign-language document is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

## **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement. Specifically, Applicant's representatives became aware of the following documents around January 28, 2004.

## FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date Feb. 17, 2004

FOLEY & LARDNER

Customer Number: 26371

Telephone:

(414) 297-5839

Facsimile:

(414) 297-4900

Marcus A. Burch

Attorney for Applicant Registration No. 52,673

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	bstitute for	form 1449B/	PTO	Complete if Known			
FEB 1 7 20	1 INFORMATIO	N DISCLO	SURE	Application Number	09/992,205		
	STATEMENT	BY APPLI	CANT	Filing Date	11/06/2001		
	- <b>5</b>		17 0004	First Named Inventor	Hubert F. Metzger		
The Submitted: February 17, 2004			17, 2004	Group Art Unit	1742		
(use as many sheets as necessary)				Examiner Name	Donald R. Valentine		
Sheet	1	of	1	Attorney Docket Number	054726-0115		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patractas and Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant	
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	A1	5,318,683		Smith et al.	06/07/1994		
	A2	4,269,670		Smith	05/26/1981		

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	e Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	A3	DE	41 13 361	A1	Datwyler	10/31/1991			
								-	
							<u> </u>	<u> </u>	
								1-	
								1	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>			

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.